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Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Mustansir Banatwala and Jorge Camargo

Title: COMPUTER METHOD AND APPARATUS FOR PREVIEWING FILES OUTSIDE OF AN
APPLICATION PROGRAM

☒ Specification, Claims, Abstract of the Disclosure

☒ 5 sheets of ~~Formal~~/informal drawings. (Figs. 1, 2, 3A, 3B and 3C)

☒ An assignment of the invention to Wang Laboratories, Inc.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and
37 C.F.R. 1.27.

☒ Executed/~~Unexecuted~~ Combined Declaration/Power of Attorney.

☐ Other: _____

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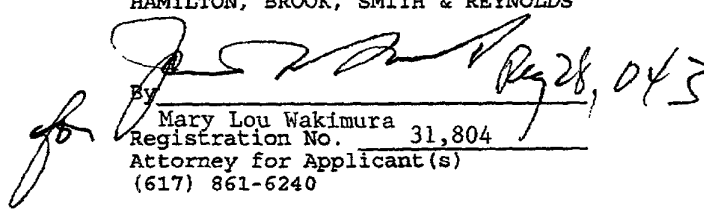
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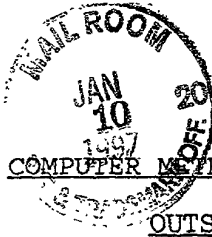
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Dated: 11/10/97
 APPATENT.LTR-REV. 10/01/96

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PATENT APPLICATION
Docket No.: WG96-02



COMPUTER METHOD AND APPARATUS FOR PREVIEWING FILES
OUTSIDE OF AN APPLICATION PROGRAM

5 Background of the Invention

Document imaging is a technology that converts paper documents/information into electronic form where storage retrieval can be automated using standard computer technology. By capturing paper documents as
10 electronic images stored by the computer, all the benefits and power of database, e-mail, networks, facsimile and memory storage technologies can be applied to what was once manually processed information.

15 Although image documents can be electronically filed using multiple index and filing methods and then quickly searched and retrieved and subsequently shared among multiple users, each task must be controlled and managed through a common application program.

20 Typically, to open an application program involves loading object oriented programming controls (e.g., Microsoft Object Linking and Embedding "OLE" controls) and loading the operating system.

Briefly, OLE controls (called OCX's or ActiveX)
25 are a type of OLE component standard. OCX's include properties, methods and events which enable programmers to add specific (well defined) functions to application programs. The functions enable end users to perform certain tasks on desired data. The self-contained
30 objects (OCX's) are portable and insertable into any container or applications program. A "container application" or an OLE "control container" (and generally, "object container") is any working computer

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program that is formed or has defined within it OLE components and objects.

Thus the loading, opening and running of an application program takes time and working memory.

5 In another example of image document search and retrieval, images and respective image information are stored on a database. The database supports a dedicated application program which searches and retrieves images in response to user command. Again
10 the disadvantage of the dedicated application program is the time and working memory required to load the program before running it.

Summary of the Invention

15 The present invention overcomes problems of the prior art. In particular the present invention provides a method and apparatus for previewing files, images and image file information without opening and running an application program in working memory. As
20 used herein, "previewing" with respect to a file includes an overview of or summary of file characteristics and contents including images. The summary synopsis file information and characteristics such as height, width, resolution, compression type
25 used for storing and forming the file, annotation graphics of the file, and the like. The preview provides display of the file images, preferably in a reduced size manner for ease of user previewing.

In accordance with the present invention, a
30 computer system has (i) a working memory in which application programs are executed and (ii) an operating system. Execution of application programs in the working memory generates files of the computer system.

File preview apparatus and method of the present invention include operating system means coupled to a display assembly for generating display of indications of file characteristics of those files selected by a user. The operating system means obtains and enables display of file characteristics indicia, outside of an application program opened and running in the working memory. The display assembly is responsive to the operating system means and provides display of the file characteristics indicia in a manner free of opening an application program in the working memory.

In one embodiment, the operating system means is a file manager of the operating system. The file manager manages files generated by application programs executed in the working memory of the computer system. The file manager also enables user selection of a desired file and display of indicia of file characteristics of the desired file, without opening and running an application program in working memory.

In another embodiment, the present invention is employed as an extension of an existing file manager. The preexisting file manager is an original part of the operating system and manages files of the computer system. The present invention extension of the file manager provides user selection of desired files and generates indicia of the user selected file, for display through the display assembly, in a manner free of opening an application program in working memory.

In another embodiment, the operating system means is a document manager of the operating system. The document manager manages groups of files (e.g., folders) and enables display of indications of file grouping characteristics in response to user command.

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In either case of the present invention extending a preexisting file manager or document manager, the extension shares a user interface in common with the file manager/document manager. This provides a seamless and effectively full integration of the extension with the operating system.

The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred embodiments and the drawings in which like reference
20 characters refer to the same parts throughout the different views. The drawings are not necessarily to scale, emphasis instead being placed upon illustrating the principles of the invention.

Figure 2 is a block diagram of a preferred embodiment of the present invention.

30

Generally speaking, a computer system is formed of a digital processor (including a central processing

unit, or "CPU") and various input/output assemblies (e.g., keyboard, mouse, display monitor, printer, and the like). Illustrated in Figure 1 is a digital processor and more specifically the CPU and its major operating parts. Included are an operating system 15 (shown below the solid horizontal line) and a working memory/random access memory 17 (shown above the solid horizontal line). Within the operating system 15 are a plurality of application program and interface shells 19a..19n and various working facilities. With respect to working facilities, a file facility 21 (such as Explorer in Microsoft Windows) is typically an inherent part of the operating system 15. Each facility enables a shell extension thereof following programming code 15 and protocol disclosed by Microsoft or the operating system manufacturer.

For example, programmers-users follow the predefined coding and protocol of the file facility 21 to define a desired shell extension thereof. The purpose of the shell extension is defined by the programmer-user to accomplish a desired task through or in conjunction with operation of the file facility 21. One such shell extension for implementing the present invention is further described below.

In the working memory 17 half of Figure 1, there are application programs 11 and object oriented programming controls 13a..13n. The application programs 11 are executed in working memory 17 and generate various files. These files are maintained in a file system 23 which bridges across the working memory 17 and operating system 15. File system 23 follows store, exchange and retrieve protocols of a database or other accessing protocol common in the art.

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To that end, file system 23 may be a database or other working exchangeable and retrievable storage.

In the preferred embodiment of the present invention, an image engine 25 is also supported in 5 working memory 17. The image engine 25 provides generation, maintenance and display of images. In particular, image engine 25 employs subroutines enabling compression, expansion, manipulation, and display of images. These images are stored in file 10 system 23. An example of image engine 25 is the Wang Imaging for Windows software. Other image systems are suitable.

The present invention employs the existing image engine 25 and file facility 21 to provide user preview 15 of files from file system 23 in a manner that does not require the opening and running of an application in working memory 17. Figure 2 illustrates the working details of the present invention. In particular, the present invention is implemented as a shell extension 20 to the file facility 21. In a preferred embodiment, the extension 29 is called the Image shell of the Explorer facility of Microsoft Windows. The Image shell extension 29 includes a call to a dynamic library link (DLL). The key values for calling the DLL are 25 stored in the registry 31 of the operating system 15. In response to a user command (such as click of the right mouse button), the Image shell extension 29 is invoked. In turn, the image shell DLL utilizes the image engine 25 to invoke an appropriate processing 30 task. In particular, the image engine 25 allows the DLL to obtain from the file system 23 the user desired file. The image engine 25 also understands the file format of the requested file and subsequently enables

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In one embodiment, the display assembly 27 displays a text indication of file characteristics including but not limited to file height, width, length, color type, resolution, compression type used for forming the file, annotation graphics, and the like. With respect to image contents of the desired file, the display assembly 27 employs a resizing routine so that the image is displayed in various sized windows being viewed by the end user. The resizing routine is of the "fit the window" type known in the art. Generally the resizing routine calculates the ratio of the subject image to the area of the viewing window. The ratio is then used in deleting various pixels from the subject image as stored to form a new "zoomed" working image. The display assembly 27 then supports display of the resulting working image in the targeted viewing area or window viewed by the end user.

Figures 3A-3C more specifically detail the image shell extension 29. It is understood that similar

functionality may be implemented in a stand-alone module in lieu of the extension 29. In that sense an operating system means provides the dynamic library link to call the image engine in response to user 5 command for previewing a desired file from file system 23. Various configurations in hardware or software or combination thereof, or as a stand-alone module or extension of an existing file facility or other facility of the operating system 15 are within the 10 purview of one skilled in the art.

At any rate, in the preferred embodiment of Figure 2, the flow of control and operation of image shell extension 29 is illustrated in Figures 3a-3c. By way of overview, Figure 3a shows the major steps involved 15 at the operating system level. Figures 3b and 3c describe in particular part the first and last steps of Figure 3a.

Upon a user's initial command or action (such as selecting a working file name by clicking the right 20 mouse button) during running of the file facility 21, the operating system 15 calls the image shell extension DLL (step 50, Figure 3a). This is accomplished by the operating system 15 inquiring the registry 31 for existence of the extension at step 52, Figure 3b. If 25 the extension is not listed in the registry 31, then the shell extension routine ends (step 54, Figure 3b). Otherwise, where the shell extension is found to exist in the registry 31 (step 56, Figure 3b), the operating system 15 calls the image shell extension 29 and 30 inquires the extension for support of the file type of the working file selected by the user. If the shell extension 29 does not support the subject file type, then the shell extension routine ends (step 54, Figure

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3b). Otherwise, in the case where the shell extension 29 supports the subject file type, the operating system runs a base code and then runs the extension code (step 58, Figure 3b).

5 Returning to step 60 of Figure 3a, the foregoing includes the shell extension routine of Figure 3b returning to the file facility 21 (which is the initial caller of the shell extension) a reference to the user interface. In turn the file facility 21 responds by
10 putting up a user interface of its own. Next the file facility 21 uses the passed user interface reference of the extension to call the shell extension 29 to post the extension user interface (step 70, Figure 3a). The shell extension 29 initializes and posts its user
15 interface 80. Thereafter the shell extension posts in its user interface pertinent file information of the subject file (step 82, Figure 3a) as supported by image engine 25 described in Figure 3C and discussed next.

From the initial user command/action, the
20 operating system 15 passes the file name of the subject working file to the shell extension 29 (step 85, figure 3C). In turn, the shell extension 29 checks the validity of the subject working file by opening the file through the image engine 25 (step 87, Figure 3C).
25 If the image engine 25 does not support the data (data type) within the file, then the processing between shell extension 29 and image engine 25 ends at step 89 in Figure 3C. If the image engine 25 supports the file data, then shell extension 29 obtains from image engine
30 25 information regarding the first page of the subject file (step 90, Figure 3C). To accomplish this, the image engine 25 opens the subject working file and reads file information regarding each page. After

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reading the first page information, the image engine 25 returns that set of information to the shell extension 29 at step 92, Figure 3b. In response to the information obtained from the image engine 25, shell extension 29 uses the user interface reference previously passed to file facility 21 and displays the information in the previously initialized user interface of the extension (step 94, Figure 3C).

Upon user command/action to display an image of the subject working file, image shell extension 29 calls image engine 25 at step 96 of Figure 3b. Image engine 25 responds by interpreting various fields of the subject file and determines whether a minified version of the requested image was prestored (step 98, Figure 3b). If the image engine 25 finds a prestored, reduced-in-size (or so called "thumbnail") rendition of the image, then image engine 25 produces a display of the minified image through the shell extension user interface (step 97). If the image engine 25 does not find a predefined thumbnail of the requested image, then image engine 25 decompresses the file image data (knowing the compression type interpreted from a pertinent field of the subject working file) and generates a reduced-in-size rendition of the image using "fit the window" techniques mentioned above (step 95). In either case of the image engine finding a prestored thumbnail rendition of the image or generating one "on the fly", the shell extension user interface displays the desired minified image to the end user.

The image shell 29 and image engine 25 continue to display file information and images upon user request/

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Equivalents

For example, the shell extension of the present invention may extend from various file facilities including a file manager or folder manager or the like.

Although the preferred embodiment is described with respect to a Microsoft operating system, the present invention may be employed in various operating systems.

CLAIMS

1. In a computer system having (i) a working memory in which application programs are executed, and (ii) an operating system including a file manager for managing files of application programs executed in the working memory, file and file image preview apparatus comprising:
 - an extension coupled to the operating system in a manner such that the extension is entirely integrated with the operating system, the extension enabling user selection of a desired file and in response to user selection of the desired file, the extension enabling display of an indication of file characteristics of the desired file in a manner free of opening an application program in working memory and hence external to application programs in the working memory; and
 - a display assembly responsive to the extension for displaying the indication of file characteristics of the user-selected desired file, in a manner free of opening an application program in working memory, such that a preview of the user-selected desired file is provided.
2. Apparatus as claimed in Claim 1 wherein the extension is coupled to the file manager of the operating system, such that the extension utilizes a user interface in common with the file manager to effectively provide full integration of the extension with the operating system.

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3. Apparatus as claimed in Claim 1 wherein the extension in response to user selection of a desired file further enables display of a working image from the file.
4. Apparatus as claimed in Claim 3 wherein the display of the working image is a reduced-in-size version of the working image.
5. Apparatus as claimed in Claim 1 wherein the indication of file characteristics include indications of at least one of height, width, length, color type, resolution, compression type used for storing and forming the file, and annotation graphics of the file.
6. Apparatus as claimed in Claim 1 wherein the file manager is a document manager for managing directories of files, and
the extension enables display of an indication of directory characteristics of a user-desired directory.
7. In a computer system having (i) an operating system and (ii) a working memory for executing application programs, file and file image previewing apparatus comprising:
a file manager coupled to the operating system, the file manager (a) managing files generated by application programs executed in the working memory and (b) enabling display of indicia of file characteristics of a user-selected file, said file manager being coupled to the operating system in a manner such that said display of

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indicia of file characteristics is generated outside of an application program opened and running in the working memory and hence external to application programs in the working memory; and a display assembly responsive to the file manager for displaying indicia of file characteristics of the user-selected file, outside of an application program opened and running in working memory, said display assembly thus providing a preview of the user-selected file.

8. A system as claimed in Claim 7 wherein the file manager in response to user selection of a desired file further enables display of a working image from the file.
9. A system as claimed in Claim 8 wherein the display of the working image is a reduced-in-size version of the working image.
10. Apparatus as claimed in Claim 7 wherein the indicia of file characteristics include indications of at least one of height, width, length, color type, resolution, compression type used for storing and forming the file, and annotation graphics of the file.
11. Apparatus as claimed in Claim 7 wherein the file manager includes a document manager for managing folders of files generated by application programs executed in the working memory, and enables display of indicia of folder characteristics of respective user-selected folders in a manner free

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of opening and running an application program in working memory, to provide respective preview of the user-selected folder.

12. In a computer system, a method of displaying file characteristics including images of a user-selected file, to provide a preview of the file, comprising the steps of:

providing a working memory for executing application programs;

executing application programs in said working memory in response to user command, said execution of the application program generating files of the computer system;

providing operating system means for enabling display of indications of file characteristics of a file selected by a user, the operating system means enabling display of file characteristics outside of an application program opened and running in the working memory; and

in a manner free of opening and running an application program in the working memory, displaying the indications of file characteristics of a user-selected file, in response to user command for previewing that file.

13. A method as claimed in Claim 12 further comprising the steps of:

through the operating system means, enabling display of a working image from the user selected file; and

displaying a working image with the indications of file characteristics of said file.

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14. A method as claimed in Claim 13 wherein the steps of enabling display of a working image and displaying the working image include reducing size of the working image to provide a minified rendition of the working image for previewing purposes.
15. A method as claimed in Claim 12 wherein the step of providing indications of file characteristics includes displaying indications of at least one of height, width, length, color type, resolution, compression type used for storing and forming the file, and annotation graphics of the file.
16. A method as claimed in Claim 12 wherein said step of displaying indications of file characteristics includes providing a display assembly responsive to the operating system means for displaying indications of file characteristics outside of an application program opened and running in working memory.
17. A method as claimed in Claim 12 wherein the step of providing operating system means includes at least one of:
- providing a document manager in an operating system, the document manager for managing groupings of files, the document manager enabling display of indications of respective characteristics of user-selected groupings of the files, in a manner free of opening an application program in the working memory; and

providing a file manager in an operating system, the file manager for managing files generated from application programs executed in the working memory, the file manager enabling display of indications of file characteristics of user-selected files, in a manner free of opening an application program in the working memory.

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COMPUTER METHOD AND APPARATUS FOR PREVIEWING FILES
OUTSIDE OF AN APPLICATION PROGRAM

Abstract of the Invention

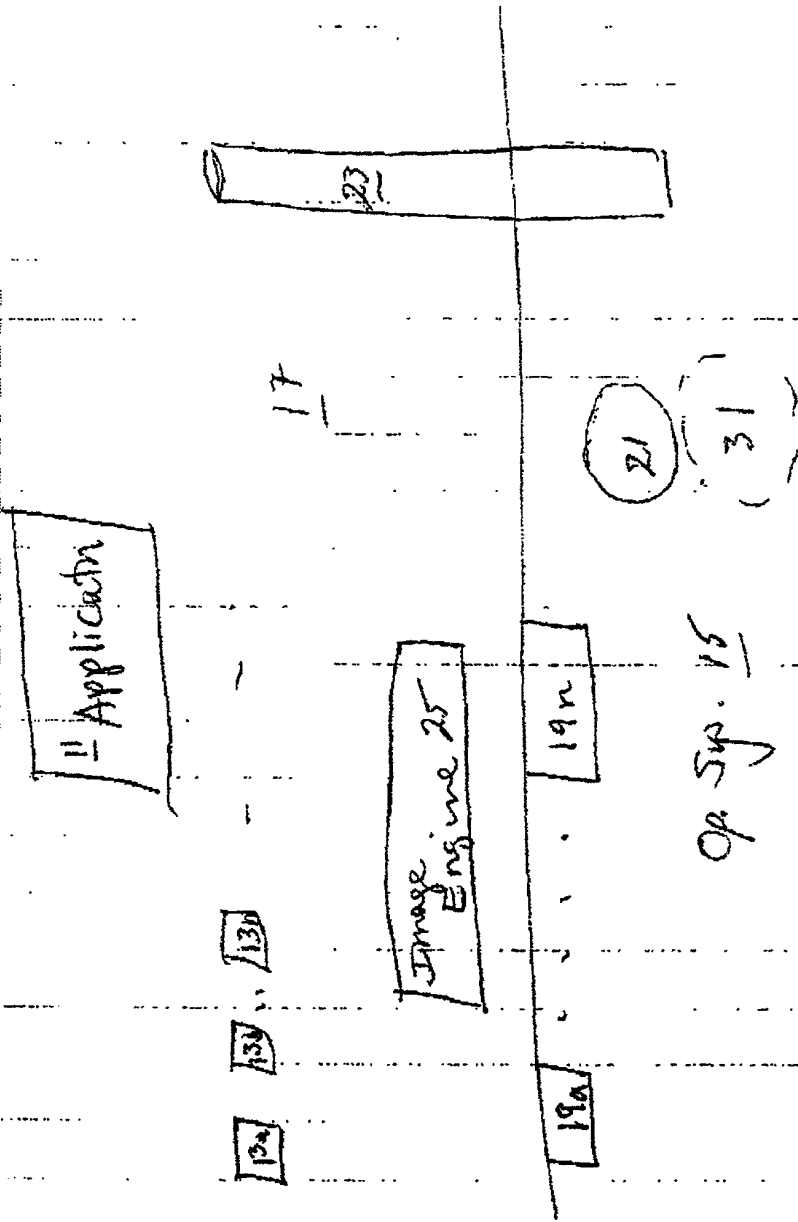
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A method and apparatus for previewing images and image file information without opening and running an application in working memory. The previewing of a user-selected file includes a summary of file
10 characteristics and contents including images. The summary synopsizes file information and characteristics such as height, width, length, color type, resolution, compression type used for storing and forming the file, annotation graphics of the file and the like. The
15 preview also provides display of the file images, preferably in a reduced size manner for ease of user previewing. The file preview apparatus and method of the present invention include operating system means coupled to a display assembly for generating display of
20 indications of file characteristics of the user-selected files. The operating system means obtains and enables display of file characteristics indicia, external to and outside of an application program opened and running in the working memory. The display
25 assembly is responsive to the operating system means and provides display of the file characteristics indicia in a manner free of opening an application program in the working memory.

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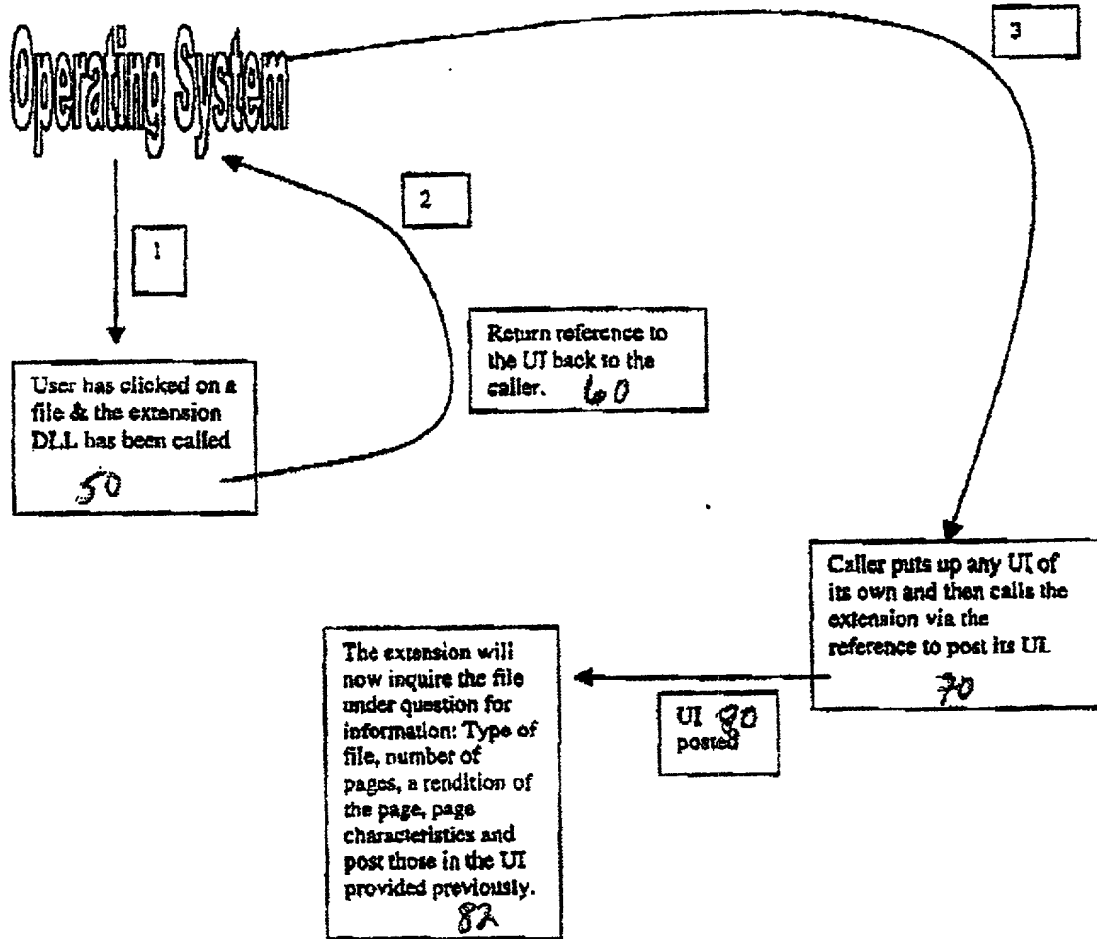


Fig 3A

Flow diagram of the shell extension

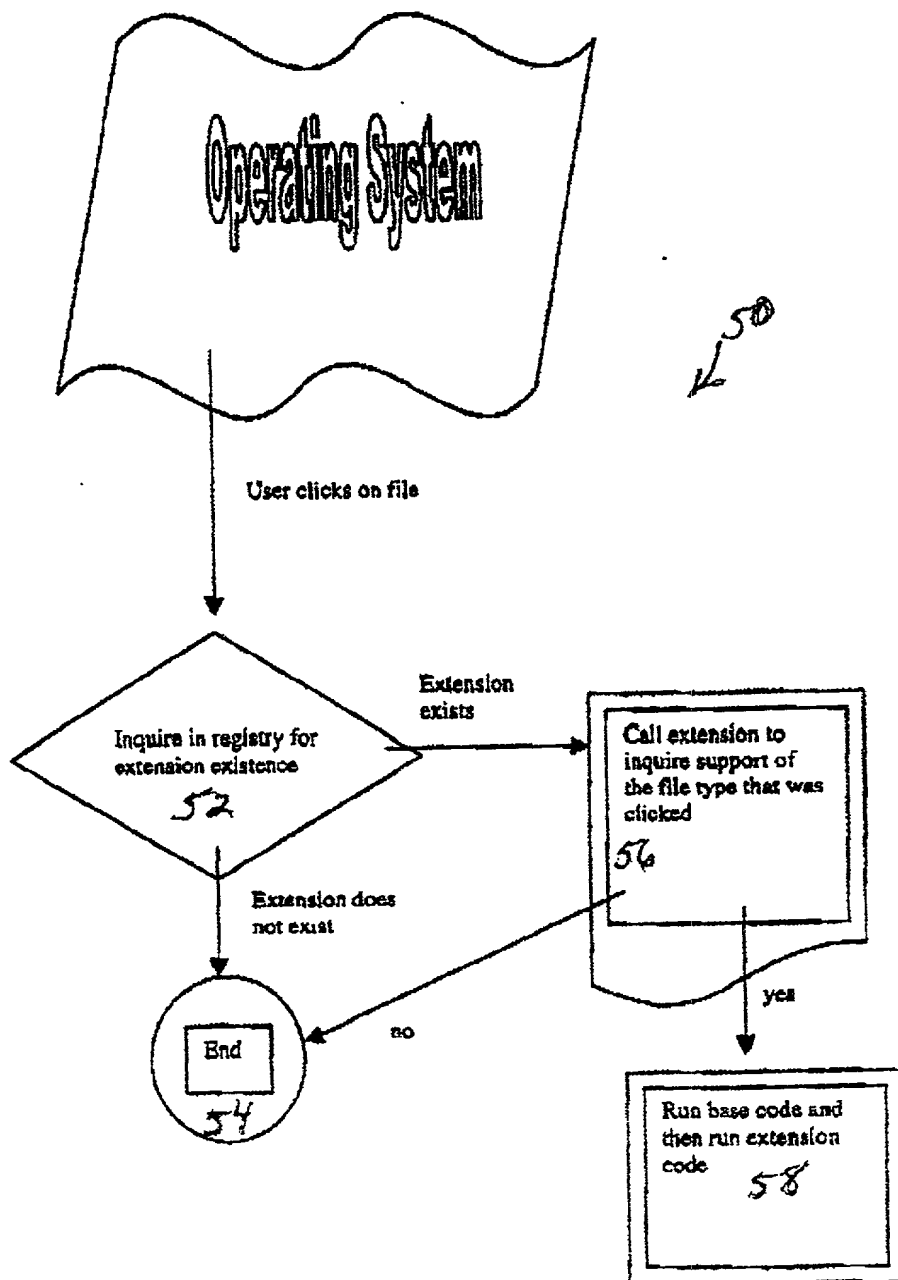


Fig 3A

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Image Shell 29

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Image Engine 25

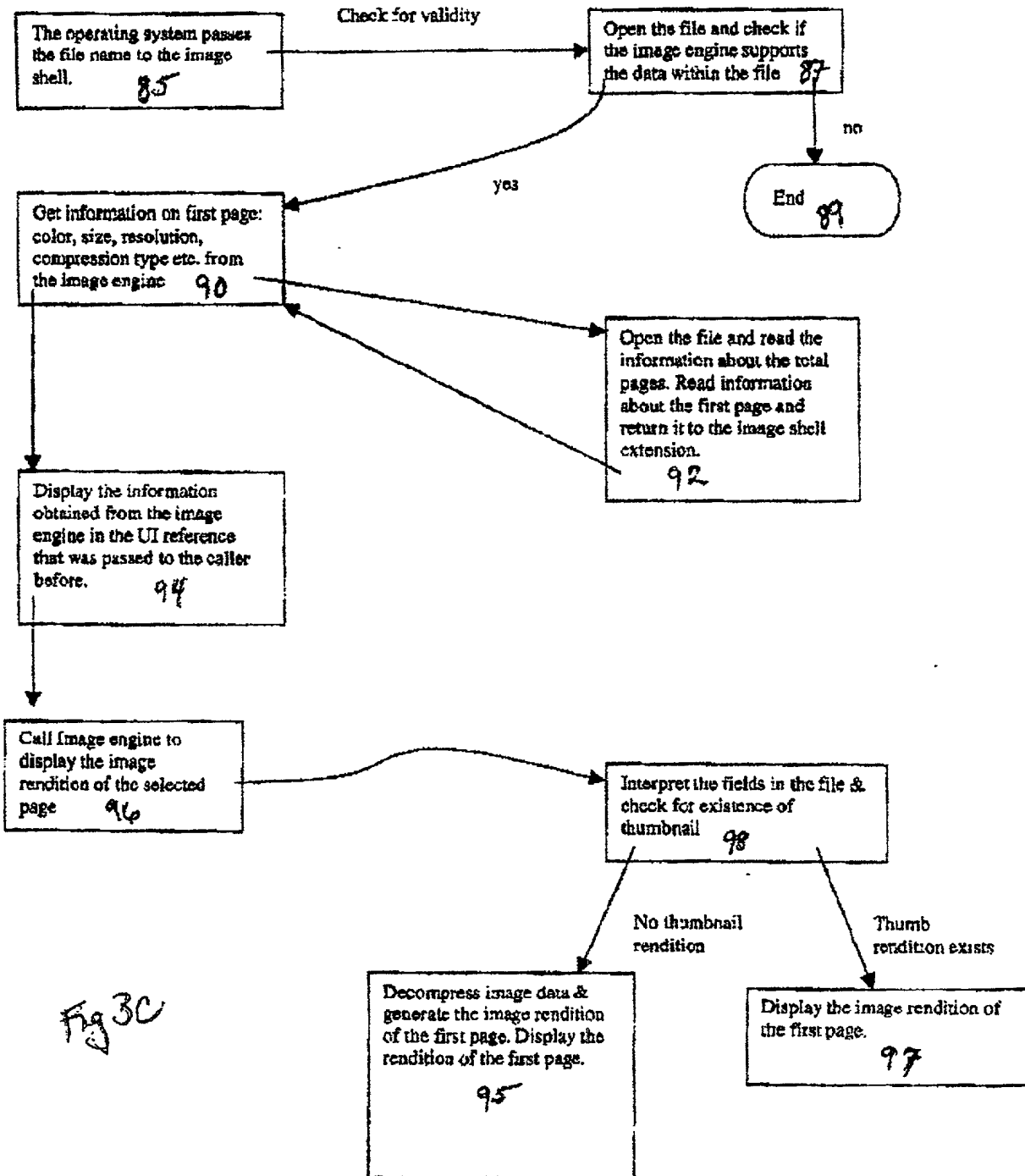


Fig 3C

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

As a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMPUTER METHOD AND APPARATUS FOR PREVIEWING FILES OUTSIDE OF AN APPLICATION PROGRAM

the specification of which (check one)

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Number or PCT International Application Serial No. _____

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

			Priority Not Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	<input type="checkbox"/>
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between

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the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing date) (Status, patented, pending, abandoned)

(Application Serial No.) (Filing date) (Status, patented, pending, abandoned)

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

I also hereby grant additional Powers of Attorney to the following attorney(s) and/or agent(s) to file and prosecute an international application under the Patent Cooperation Treaty based upon the above-identified application, including a power to meet all designated office requirements for designated states:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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or first inventor Munstansir Banatwala

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Second Inventor's

Signature_

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